
The negotiation process, negotiation strategies and coalitions

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Structure of lecture

- **The negotiation process**

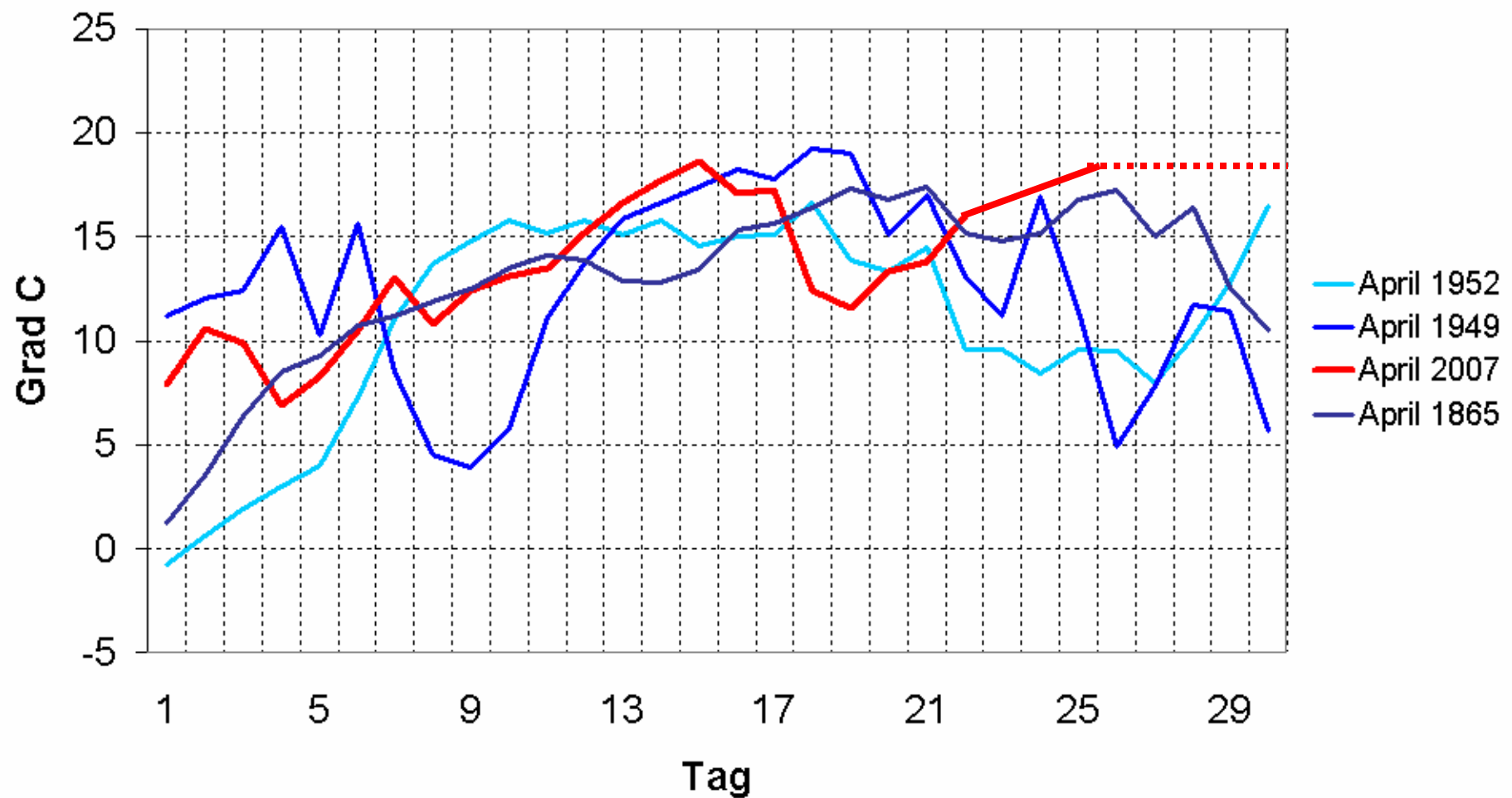
- The UNFCCC
- The Kyoto Protocol
- The Marrakech Accords

- **Negotiation coalitions**

- The Umbrella Group
- G 77
- Environmental Integrity Group
- Group dynamics
- The role of NGOs

Latest meteorological extreme...

Tagesmittel-Temperatur Basel



Mitigation as public good

- **Greenhouse gases are global pollutants**
- **All sectors of the economy emit greenhouse gases**
- **Free riding on efforts of others is easy**
- **Benefits of mitigation only accrue in the future**
- **Discounting over long periods is difficult**
- **Intergenerational equity issue**

Steps of international climate policy

“Russian doll” structure of agreements:

U.N.-Framework Convention on Climate Change (1992)

Kyoto Protocol (1997)

Marrakech Accords (2001)

**Kyoto Mechanism
rules (2002ff)
2nd Commitment
Period rules (2005ff)**

Key steps in negotiation

- **1988: setup of IPCC by WMO and UNEP**
 - First Assessment Report published in 1990
- **1991: start of negotiations of UNFCCC**
 - Intergovernmental Negotiating Committee
- **1992: agreement on UNFCCC at UNCED**
 - Part of convention bundle
 - Framework without binding targets
 - Common but differentiated responsibilities
 - Reporting

Key steps in negotiation II

- **1994: entry into force of UNFCCC**
- **1995: COP 1 at Berlin**
 - Berlin Mandate
 - Ad-hoc Working Group
 - IPCC Second Assessment Report
- **1997: COP 3 at Kyoto**
 - Agreement on Kyoto Protocol
- **1998: COP 4 at Buenos Aires**
 - Buenos Aires workplan to be finalized in 2000

Key steps in negotiation III

- **2000: COP 6 at The Hague**
 - Failure due to clash between US and EU
- **March 2001: Bush opposes Kyoto Protocol**
- **June 2001: Bonn agreement to save the Kyoto Protocol at COP 6 bis**
 - EU compromises on many issues
 - IPCC Third Assessment Report
- **December 2001: Marrakech Accords with 255 pages of detailed rules at COP 7**

Key steps in negotiation IV

- **2002: COP 8 in Delhi**
 - Clash between developing countries and industrialized countries on future commitments
- **2003: COP 9 in Milan**
 - Decisions on sinks in the CDM
- **November 2004: Russia ratifies, thus Kyoto Protocol can enter into force**
- **February 2005: Kyoto Protocol enters into force**

Key steps in negotiation V

- **May 2005: Seminar of government experts opens post-2012 negotiations**
- **December 2005: COP/MOP 1 at Montreal**
 - Formal agreement on Marrakech Accords
 - Key guardrails for post-2012 negotiations
- **November 2006: COP/MOP 2 at Nairobi**
- **Feb.-May 2007: IPCC 4th Assessment Report**
- **December 2007: COP/MOP 3 at Bali**
- **January 2008: start of First Commitment Period of Kyoto Protocol**

Symbolic policy?

- Have we really seen an emission reduction from business-as-usual?
- UNFCCC: no!
- Kyoto Protocol: yes, at least partially
 - US, Japan, Northern EU
- But the “hot air” in the system may still lead to an overall b.a.u
- Demonstrable progress check was unconvincing

Obfuscation

- **Moving targets far into the future**

- UNFCCC 1992-2000
- Kyoto 1997-2012
- Post-2012 2012-2020

- **Exceptions**

- Base year choice for countries in transition
- Australian clause for land use change
- Iceland exception
- Belarus Green Investment Scheme

Strength of institutions

- **Annual COPs**

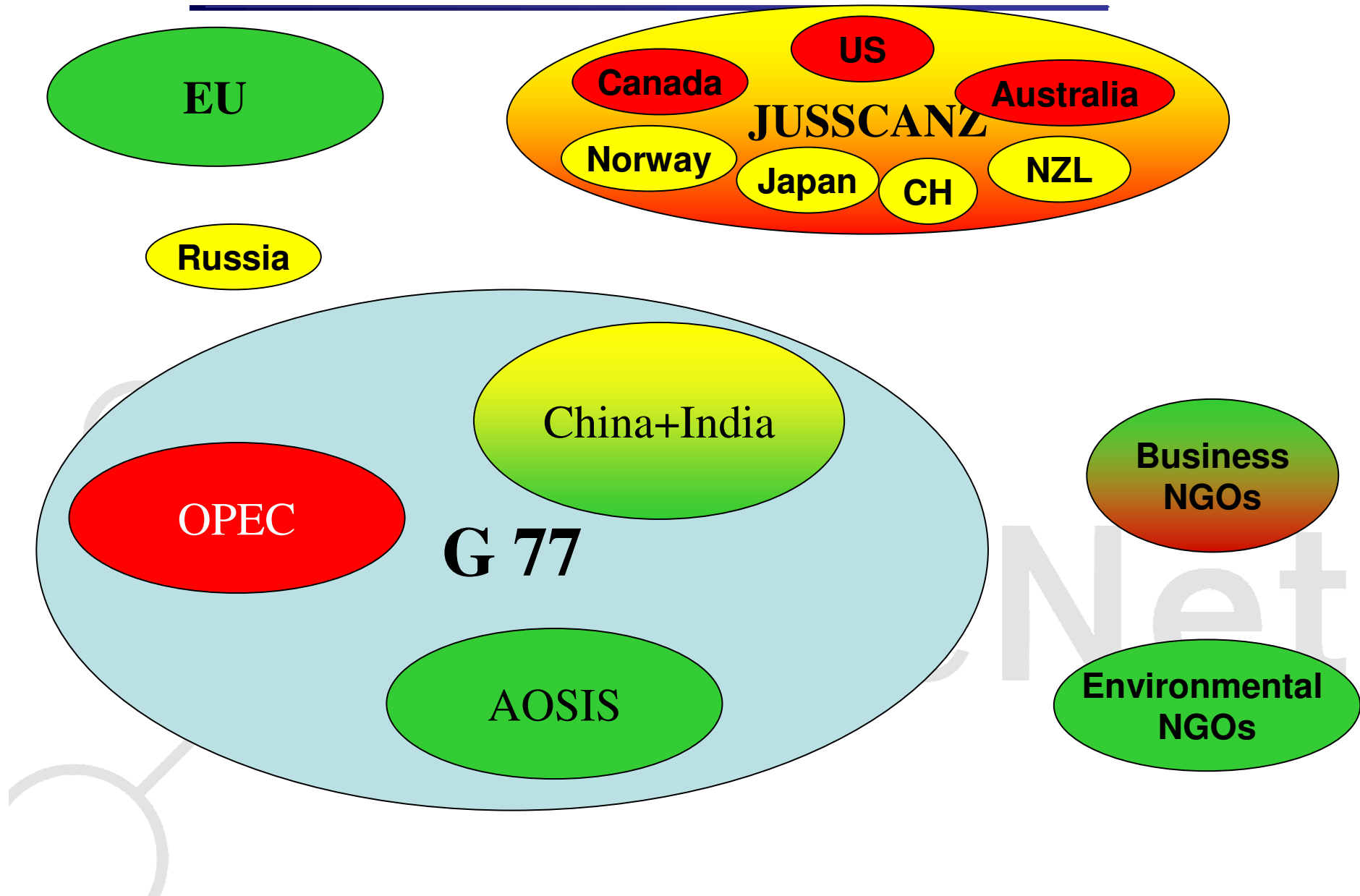
- Make progress likely as nobody wants to see a failure
- Epistemic community

- **UNFCCC Secretariat**

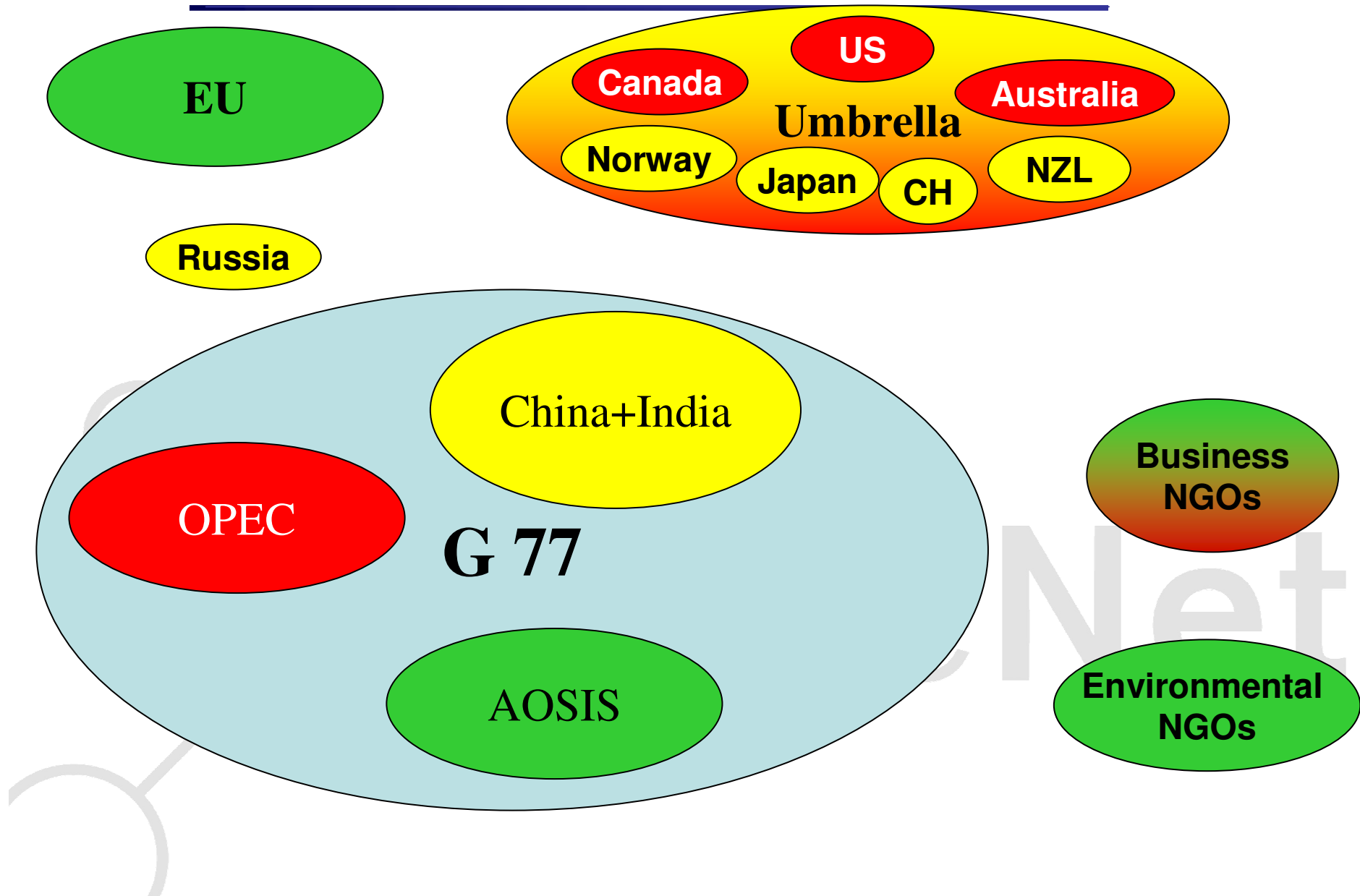
- 100+ people
- Technical expertise grows
- Depends on goodwill of Annex B countries

- **CDM EB**

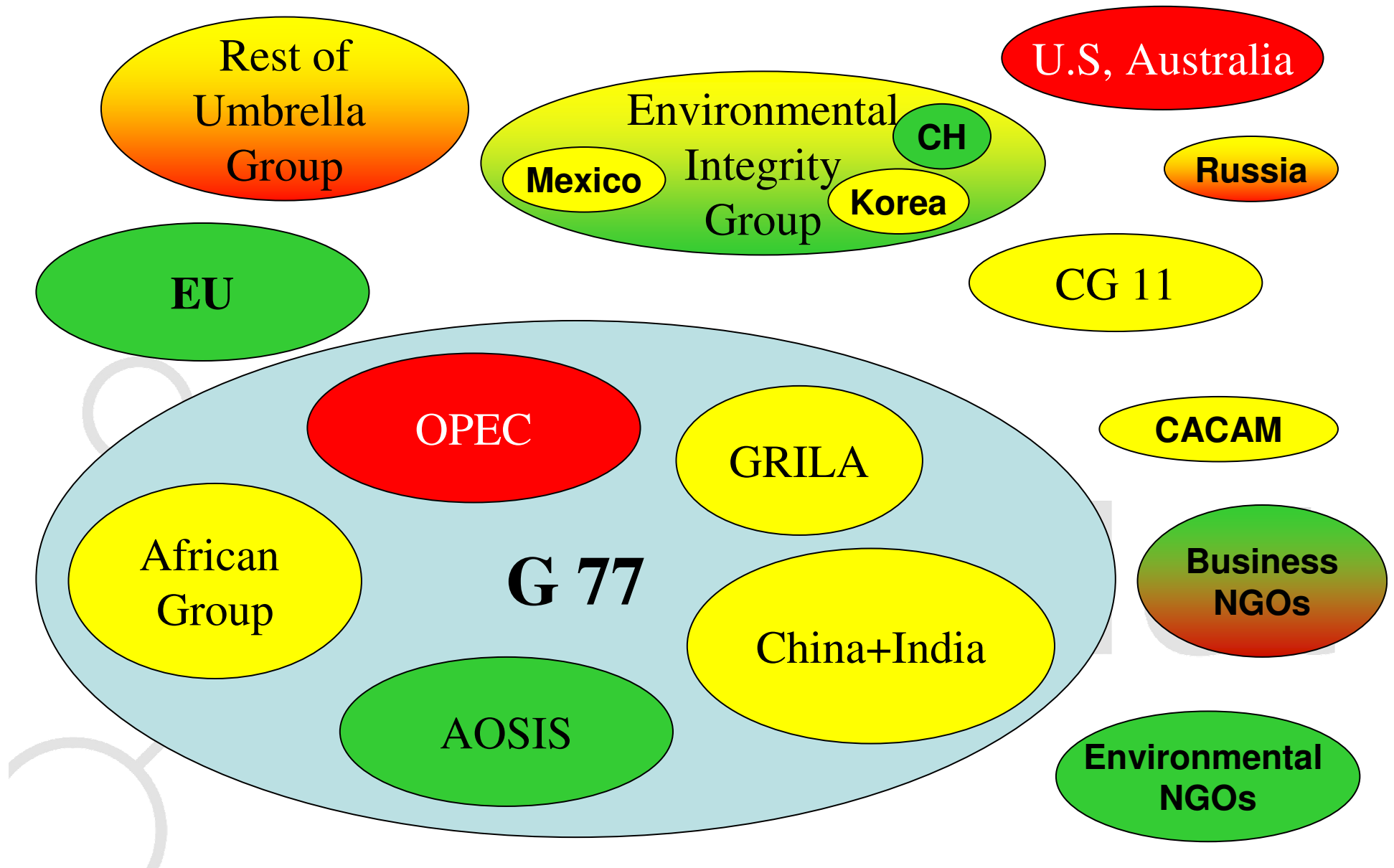
Players in the negotiations pre-1997



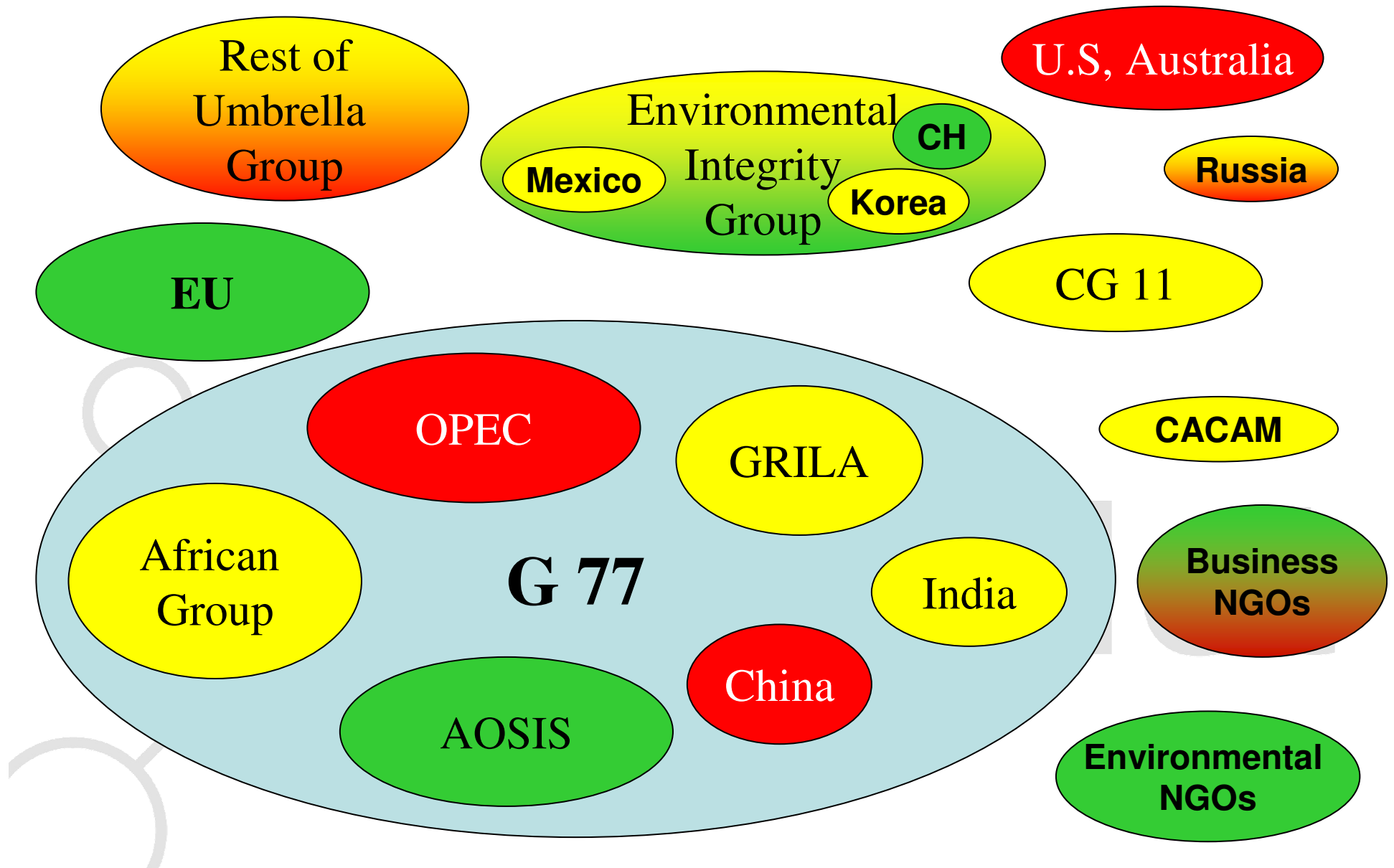
Players in the negotiations 1997-2001



Players in the negotiations 2001-2006



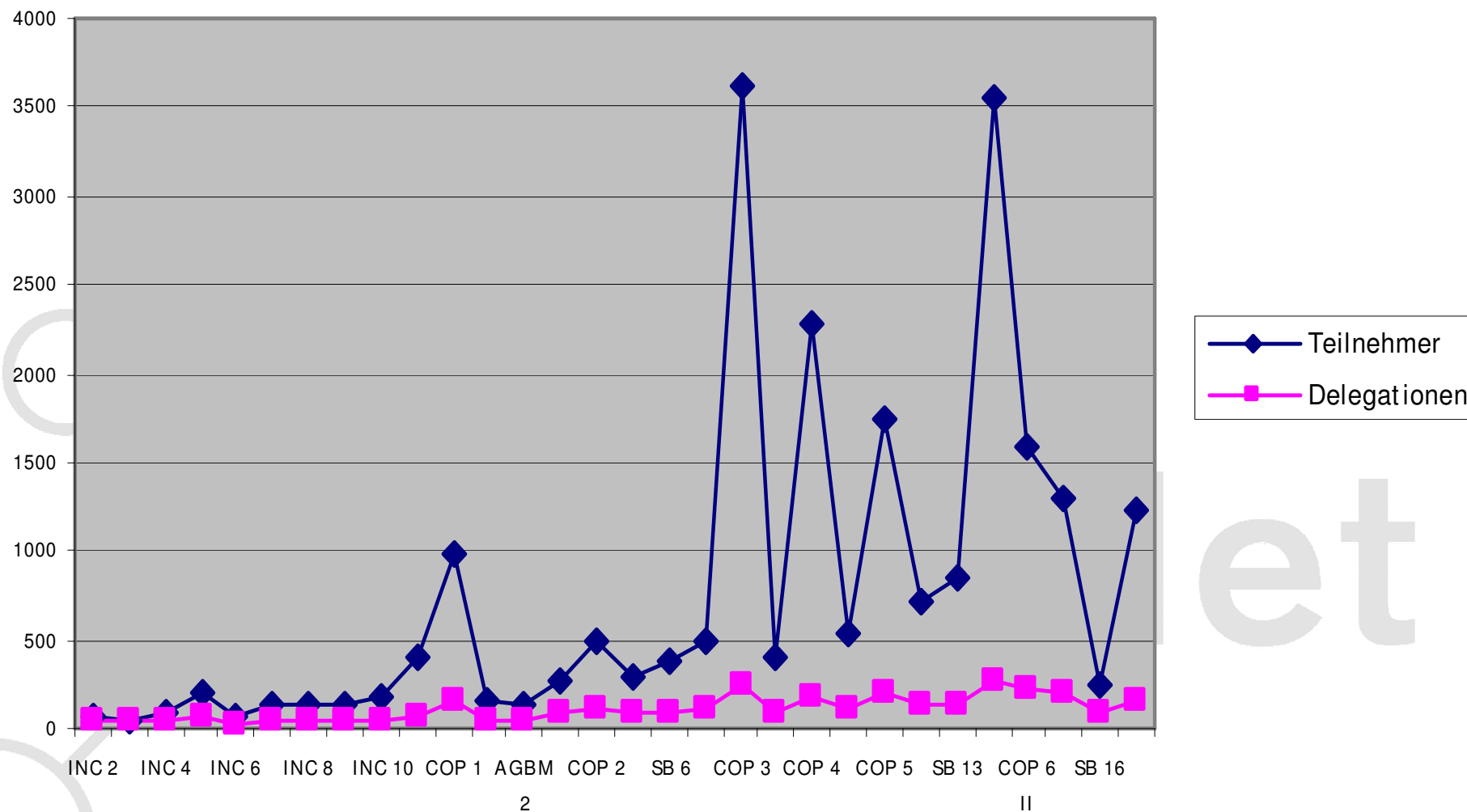
Players in the negotiations 2007ff?



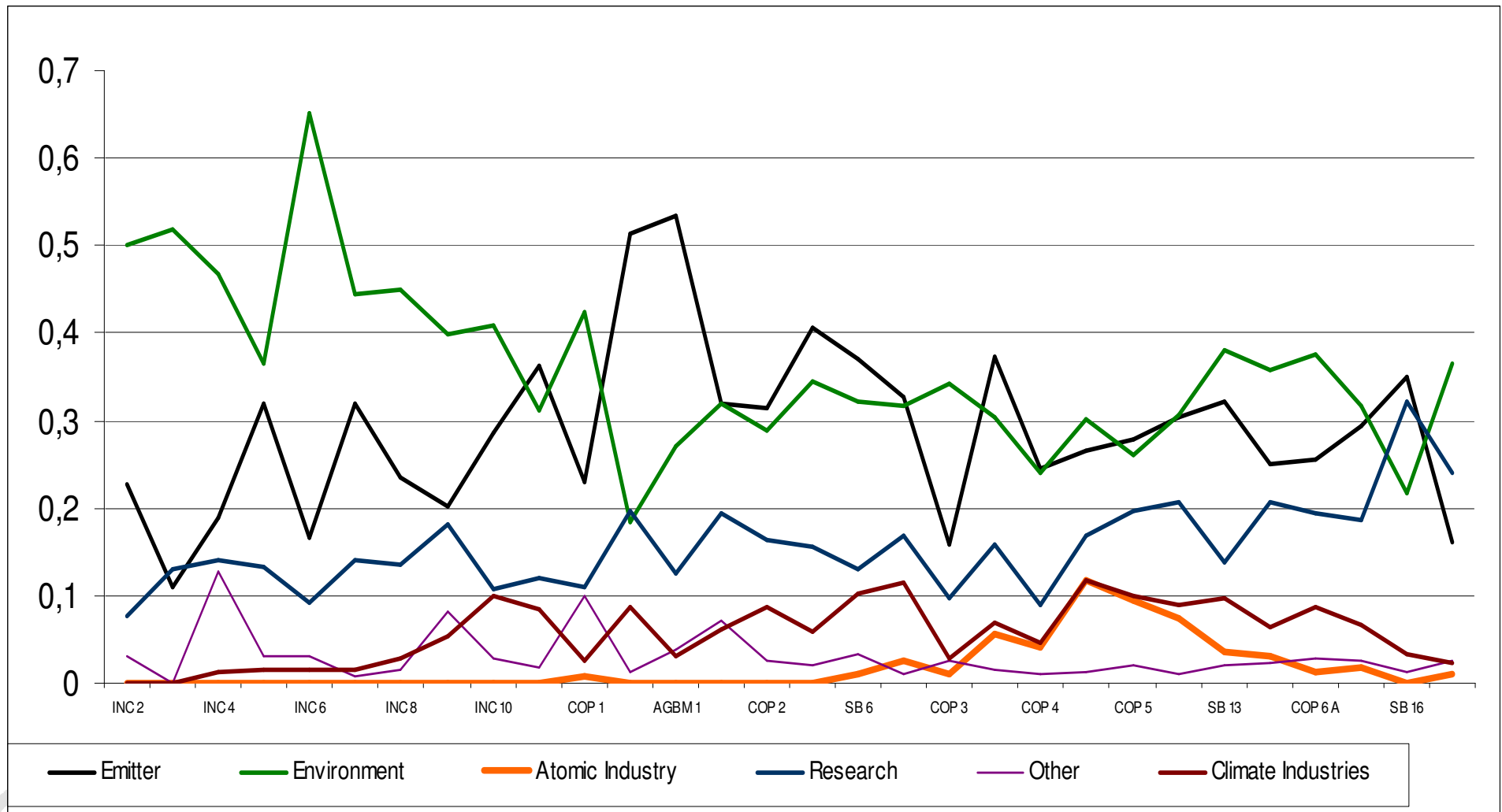
NGOs in negotiations

- **NGOs can get accreditation as observers**
- **NGOs are responsible for the majority of participants at COPs**
- **Many different types of NGOs**
 - Environmental NGOs
 - Business NGOs
 - Research NGOs
 - Municipalities and indigenous people

NGO participation



Types of NGOs



Environmental NGO structures

